

Premises ID: _____ State Site is Located: _____

Farm Name/Description: _____ Date of Assessment: _____

Total # of Breeding Animals On-Site: _____ Breeding Animals Assessed: _____

Total # of Non-Breeding Animals On-Site: _____ Non-Breeding Animals Assessed: _____

Assessment performed by: Certified Advisor Endorsed Producer Endorsed Field Staff

Producer Name: _____ PQA Plus #: _____

Address: _____

Phone: _____ Email: _____

Phase of Production (check all that apply): Breeding Non-Breeding

Owner of Pigs: _____

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments
External Facility	1. Does the site have a log for visitors?	Yes		
	2. Does the site have signage or other methods around the facility to control and restrict access for biosecurity compliance?	Yes		
Standard Operating Procedures	3. Does the site have a written SOP for animal handling procedures?	Yes		
	4. Does the site have a written SOP for piglet processing procedures, specifically castration and tail docking, that complies with AASV guidelines? <i>NA if the site does not farrow piglets.</i>	Yes/NA		
	5. Does the site have a written SOP for feeding and watering protocols?	Yes		
	6. Does the site have a written SOP for conducting daily observations?	Yes		
	7. Does the site have a written SOP for caretaker training?	Yes		
	8. Does the site have a written SOP for treatment management?	Yes		
	9. Is there a written SOP for needle usage that includes a section on broken needles covering prevention, identification of suspect pigs and protocol for what to do with that animal? <i>NA for sites using needleless systems.</i>	Yes/NA		
	10. Does the site have a written biosecurity SOP that contains information covering barn sanitation, rodent control, worker & visitor entry policies, and general farm security measures?	Yes		

SITE ASSESSMENT FORM page 2

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments
Office Records	11. Are transporters delivering or picking up pigs from the site TQA Certified?	Yes		
	12. Do all caretakers have a current PQA Plus Certification or are within 90 days from their new employment date?	Yes		
	13. Does the site have a valid PQA Plus Site Status?	Yes		
	14. Is there a written record of emergency backup equipment being tested at least twice per year? <i>NA if the site is outdoors or non-mechanically ventilated.</i>	Yes/NA		
	15. Does the site have documentation of annual caretaker training specific to their daily duties?	Yes		
	16. Does the site have a written zero tolerance policy for willful acts of abuse?	Yes		
	17. Does the site have a reporting mechanism in place for caretakers to report abuse?	Yes		
	18. Does the site conduct an internal site assessment of the facility, animals, caretakers, and procedures (<i>breeding: quarterly,; non-breeding: semi-annually</i>)?	Yes		
	19. Does the site have 12 months of records to verify the animals were observed at least once daily?	Yes		
	20. Does the site have 12 months of mortality records?	Yes		
	21. Does the site have a valid VCPR?	Yes		
	22. Does the site have compliant medication and treatment records?	Yes		
	23. Are medication and treatment records retained for 12 months?	Yes		
	24. Are VFD records retained according to FDA guidelines? <i>NA for sites not using products requiring a VFD.</i>	Yes/NA		
Office Training Records	25. Can caretakers articulate their method for tracking what treatments have been administered and how long each animal has been receiving treatment?	Yes		
	26. Can caretakers articulate the site's protocol for handling broken needles? <i>NA for sites using needleless systems.</i>	Yes/NA		
	27. Are caretakers able to articulate the training they received specific to their daily duties?	Yes		
	28. If observed on the site, is specialized labor able to articulate or demonstrate the training they received specific to their duties? <i>N.O. if not observed during the assessment.</i>	Yes/N.O.		
	29. Are caretakers responsible for euthanasia able to articulate the site's euthanasia plan?	Yes		
	30. Can caretakers articulate the site's zero tolerance policy for willful acts of abuse and how to report abuse?	Yes		
	31. Can caretakers responsible for piglet processing procedures demonstrate or articulate the training they received to conduct the procedure according to the site's SOP? <i>NA if the site does not farrow piglets.</i>	Yes/NA		

SITE ASSESSMENT FORM page 3

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments
Office	32. Does the site have an operational emergency backup system? <i>NA if the site is outdoors or non-mechanically ventilated.</i>	Yes/NA		
	33. Is the euthanasia equipment readily available for use?	Yes		
	34. Does the site have 12 months of records demonstrating routine maintenance of euthanasia equipment?	Yes		
	35. Does the site have a written euthanasia plan that is consistent with the current AASV guidelines and is accessible to all caretakers in the facility?	Yes		
	36. Does the site have a written emergency action plan and are emergency contact phone numbers and site address posted.	Yes		
Medications	37. Are animal health products stored properly and not past the expiration date?	Yes		
	38. Is the site using the appropriate needle sizes per PQA Plus recommendations?	Yes/NA		
	39. Are the 16 gauge or larger size (lower number) needles on the site detectable?	Yes/NA		
	40. Are used sharps placed in a rigid puncture-resistant container that is labeled properly?	Yes/NA		
Animal Observations	41. Were any willful acts of abuse or neglect observed during the assessment?	No		
	42. Are animals euthanized in a timely manner?	Yes		
	43. If euthanasia is observed, are animals handled humanely during the process? <i>N.O. if euthanasia is not observed during the assessment.</i>	Yes/Not Observed		
	44. If euthanasia is observed, are animals euthanized in place or is suitable equipment available to move non-ambulatory animals so they can be humanely euthanized? <i>N.O. if euthanasia is not observed during the assessment.</i>	Yes/Not Observed		
	45. If euthanasia is observed, do caretakers confirm insensibility and death, per AASV guidelines, after the euthanasia method is applied and before being removed from the facility? <i>N.O. if euthanasia is not observed during the assessment.</i>	Yes/Not Observed		
	46. If euthanasia is observed, are caretakers following the site's SOP for euthanasia? <i>N.O. if euthanasia is not observed during the assessment.</i>	Yes/Not Observed		
	47. Are animals handled appropriately for their age?	Yes		
	48. Can animal caretakers articulate or demonstrate appropriate use of equipment during animal handling?	Yes		

SITE ASSESSMENT FORM page 4

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments
	49. Is proper handling equipment available and in good working order with no sharp edges?	Yes		
	50. Are electric prods used on suckling or weaned piglets? <i>NA if suckling or weaned pigs are never on the site.</i>	No/NA		
	51. Are electric prods used to move nursery, market pigs, sows, or boars out of pens?	No		
	52. Do pigs show thermoregulatory behaviors that indicate they are too hot or too cold <u>and</u> the air temperature at the pig level is outside the preferred temperature range for the phase of production? If so, has the caretaker taken appropriate actions to minimize heat or cold stress?	No; Yes		
	53. Do the pigs have access to feed and water according to the site's SOP?	Yes		
	54. Are dead animals removed from the living space upon identification?	Yes		
	55. Do pigs show signs of exposure to poor air quality? If so does the ammonia concentration exceed 25 ppm?	No; No or Yes; No		

Animal Observations	Adequate Space	56a. Total breeding animals observed with adequate space:			
		56b. % of breeding herd with adequate space:			
		56c. Total non-breeding animals observed with adequate space:			
		56d. % of non-breeding herd with adequate space:			
		56e. Total animals observed with adequate space (56a + 56c):			
		56f. % total with adequate space (56e ÷ total number of animals assessed):			
		56. Do at least 90% of pigs observed have adequate space allowance (56f ≥ 90%)?	Yes		
	Body Condition Score	57a. Total breeding animals observed with BCS 1:			
		57b. % of breeding herd with BCS 1:			
		57c. Total non-breeding animals observed with BCS 1:			
		57d. % of non-breeding herd with BCS 1:			
		57e. Total animals observed with BCS 1 (57a + 57c):			
		57f. % total with BCS 1 (57e ÷ total # of animals assessed):			
		57. Do 1% or less of the pigs observed have a body condition score of 1 (57f ≤ 1%)?	Yes		
	58. Have these pigs observed with a BCS of 1 been identified and are receiving attention? <i>NA if zero pigs observed with BCS of 1.</i>	Yes/NA			

SITE ASSESSMENT FORM page 5

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments	
Animal Observations (continued)	Lameness	59a. Total breeding animals observed with severe lameness:			
		59b. % of breeding herd with severe lameness:			
		59c. Total non-breeding animals observed with severe lameness:			
		59d. % of non-breeding herd with severe lameness:			
		59e. Total animals observed with severe lameness (59a + 59c):			
		59f. % total with severe lameness (59e ÷ total number of animals assessed):			
	59. Do 2% or less of the pigs observed show signs of severe lameness (59f ≤ 2%)?	Yes			
	60. Have these pigs observed to be severely lame been identified by caretakers and are receiving attention? <i>NA</i> if zero pigs observed with severe lameness.	Yes/NA			
	Abscesses	61a. Total breeding animals observed with abscesses:			
		61b. % of breeding herd with abscesses:			
		61c. Total non-breeding animals observed with abscesses:			
		61d. % of non-breeding herd with abscesses:			
		61e. Total animals observed with abscesses (61a + 61c):			
		61f. % total with abscesses (61e ÷ total # of animals assessed):			
	61. Do 5% or less of the pigs observed have abscesses (61f ≤ 5%)?	Yes			
	62. Have these pigs observed with abscesses been identified by caretakers and receiving attention? <i>NA</i> if zero pigs observed with abscesses.	Yes/NA			
	Open Wounds	63a. Total breeding animals observed with open wounds:			
		63b. % of breeding herd with open wounds:			
63c. Total non-breeding animals observed with open wounds:					
63d. % of non-breeding herd with open wounds:					
63e. Total animals observed with open wounds (63a + 63c):					
63f. % total with open wounds (63e ÷ total # of animals assessed):					
63. Do 1% or less of the pigs observed have open wounds (63f ≤ 1%)?	Yes				
64. Have these pigs observed with open wounds been identified by caretakers and receiving attention? <i>NA</i> if zero pigs observed with open wounds.	Yes/NA				

SITE ASSESSMENT FORM page 6

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments	
Animal Observations	Scratches	65a. Total breeding animals observed with severe scratches:			
		65b. % of breeding herd with severe scratches:			
		65c. Total non-breeding animals observed with severe scratches:			
		65d. % of non-breeding herd with severe scratches:			
		65e. Total animals observed with severe scratches (65a + 65c):			
		65f. % total with severe scratches (65e ÷ total # of animals assessed):			
	65. Do 2 % or less of the pigs observed have severe scratches?	Yes			
	66. Have these pigs observed with severe scratches been identified by caretakers and receiving attention? <i>NA if zero pigs observed with severe scratches.</i>	Yes/NA			
	Shoulder Sores	67a. Total breeding animals observed with shoulder sores:			
		67b. % of breeding herd with shoulder sores (67a ÷ # of breeding animals assessed):			
		67. Do 5% or less of the breeding herd observed have shoulder sores (67b ≤ 5%)? <i>NA if no breeding pigs on site.</i>	Yes/NA		
		68. Have these pigs observed with shoulder sores been identified by caretakers and receiving attention? <i>NA if no breeding pigs on the site or zero observed with shoulder sores.</i>	Yes/NA		
	Tail Biting	69a. Total breeding animals observed with tail biting lesions:			
		69b. % of breeding herd with tail biting lesions:			
		69c. Total non-breeding animals observed with tail biting lesions:			
		69d. % of non-breeding herd with tail biting lesions:			
		69e. Total animals observed with tail biting lesions (69a + 69c):			
		69f. % total with tail biting lesions (69e ÷ total # of animals assessed):			
69. Do 5% or less of the pigs observed show evidence of tail biting in the herd (69f ≤ 5%)?	Yes				
70. Have these pigs observed with evidence of tail biting been identified by caretakers and receiving attention? <i>NA if zero pigs observed with tail biting lesions.</i>	Yes/NA				
Hernias	71a. Total non-breeding animals observed with hernias:				
	71b. % of non-breeding herd with hernias (71a ÷ # of non-breeding animals assessed):				
	71. Do 5% or less of the non-breeding herd observed have hernias (71b ≤ 5%)? <i>NA if no non-breeding pigs on site.</i>	Yes/NA			
	72. Have these pigs observed with hernias been identified by caretakers and receiving attention? <i>NA if no non-breeding pigs on the site or zero observed with hernias.</i>	Yes/NA			

SITE ASSESSMENT FORM page 7

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments	
Animal Observations	<i>73a. Total breeding animals observed with prolapses:</i>				
	<i>73b. % of breeding herd with prolapses:</i>				
	<i>73c. Total non-breeding animals observed with prolapses:</i>				
	<i>73d. % of non-breeding herd with prolapses:</i>				
	<i>73e. Total animals observed with prolapses (73a + 73c):</i>				
	<i>73f. % total with prolapses (73e ÷ total # of animals assessed):</i>				
	73. Do 1% or less of the pigs observed have prolapses ($73f \leq 1\%$)?	Yes			
	74. Have these pigs observed with prolapses been identified by caretakers and receiving attention? <i>NA if zero pigs observed with prolapses.</i>	Yes/NA			
Animal Observations	<i>75a. Total breeding animals observed with vulva injuries:</i>				
	<i>75b. % of breeding herd with vulva injuries (75a ÷ number of breeding animals assessed):</i>				
	75. Do 5% or less of the breeding herd observed have vulva injuries ($75b \leq 5\%$)? <i>NA if no breeding or only male breeding pigs on site.</i>	Yes/NA			
	76. Have these pigs observed with vulva injuries been identified by caretakers and receiving attention? <i>NA if no breeding or only male breeding pigs on the site or zero observed with vulva injuries.</i>	Yes/NA			
Indoor Facilities	77. Is the penning appropriate for the phase of production and in a good state of repair and not causing or posing an imminent threat of injury to the animal?	Yes			
	78. Is the flooring appropriate for the phase of production and in a good state of repair and not causing or posing an imminent threat of injury to the animal?	Yes			
	79. Are the chutes in a good state of repair and not causing or posing an imminent threat of injury to the animal? <i>NA if chute is not located at the site.</i>	Yes/NA			
	80. Are the alleyways in a good state of repair and not causing or posing an imminent threat of injury to the animal?	Yes			
	81. Are the feeders in a good state of repair to allow for unobstructed feed delivery and not causing or posing an imminent threat of injury to the pigs?	Yes			
	82. Are the waterers in a good state of repair and positioned to allow for unobstructed water delivery and not causing or posing an imminent threat of injury to the pigs?	Yes			

SITE ASSESSMENT FORM page 8

	Question	Acceptable	Develop/Implement an Action Plan	Observations/Comments
Indoor Facilities	83. Do pigs have a dry space to lie down?	Yes		
	84. Is there evidence that the site's rodent control protocol is being followed?	Yes		
	Did you observe transport/load-out?			
Transportation	85. Are any pigs that are unable to walk or significantly injured being loaded for transport?	No		
	86. Are electric prods used as the primary tool for animal movement?	No		
	87. If electric prods are used, are they being applied correctly? <i>NA if site does not use electric prods.</i>	Yes/NA		
	88. Do pigs loaded on the trailer show signs of overcrowding? <i>N.O. if could not be observed.</i>	No/Not Observed		
	89. Do 1% or less of pigs fall during loading or unloading?	Yes		
	90. Do 25% or less of the pigs being moved receive an electric shock?	Yes		
	91. Is the trailer in a good state of repair? <i>N.O. if could not be observed.</i>	Yes/Not Observed		
	92. Is the trailer properly aligned with the loading/unloading area? <i>N.O. if could not be observed.</i>	Yes/Not Observed		
	93. Is the trailer appropriately equipped for weather conditions and phase of production during transport? <i>N.O. if could not be observed.</i>	Yes/Not Observed		

Producer Signature: _____
 Advisor Signature: _____

General Comments:

Producer authorizes Advisor to access its property and facilities to observe and make assessments. Producer and Advisor acknowledge that the responses provided by Producer contain material and data that Producer regularly keeps and protects as confidential business information. Producer considers the information it provides proprietary and believes that public disclosure of its information other than in aggregate form may cause irreparable harm to Producer's competitive position. Producer states and Advisor acknowledges that Producer is willing to disclose its confidential business information only upon receiving assurances that: (i) such information will be used only for the purposes of preparing and verifying aggregate data and reports; and (ii) individually identifiable information will remain confidential to the fullest extent possible. Notwithstanding these provisions, Producer has been advised and understands that information submitted under a governmental program including this one may be subject to disclosure under the Federal Freedom of Information Act and that no representation has been made as to the confidentiality or availability of information under that statute. Accordingly, Advisor represents that the information it obtains from Producer will not be provided to the National Pork Board except as part of aggregate data (combined with information obtained from other producers) and reports and as necessary to authenticate and validate that data and reports. Advisor will use its best efforts to protect the confidentiality of individually reported information to the fullest extent permitted by law. PQA Plus Advisor will use best efforts to conduct or oversee an on-farm PQA Plus assessment according to the PQA Plus program standards. However, the Advisor's signature on the BOD verifies only that an assessment was conducted on a particular date. The Advisor's signature is not a guarantee of possible future performance on the site. Producer shall be deemed to agree to and accept the terms and conditions of the PQA Plus program by its execution of this application and/or its provision of information and access to the Advisor.